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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 030516.0029.CON1 Total Pages

First Named Inventor or Application Identifier

Kumar, et al.

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### CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	Commissioner for Patents  ADDRESS TO: Box Patent Application Washington, DC 20231
1. Fee Transmittal Form (Submit an original, and a duplicate for fee processing)  Specification (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix	6. Microfiche Computer Program (Appendix)  7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Copy  b. Paper Copy (identical to computer copy)  c. Statement verifying identity of above copies
- Background of the Invention - Brief Summary of the Invention	ACCOMPANYING APPLICATION PARTS
- Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  3. * Drawing(s) (35 USC 113) [Total Sheets 2]  4. * Oath or Declaration [Total Pages 3] a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1 33(b)  5. Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby	8. Assignment Papers (cover sheet & document(s))  9. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)  10. English Translation Document (if applicable)  11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations  12. Preliminary Amendment  13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  14. Small Entity Statement filed in prior application, Statement(s) Status still proper and desired  15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. Other:
incorporated by reference therein	
17. If a CONTINUING APPLICATION, check appropriate box and suppl	v the requisite information:
	IP) of prior application No: 09/018,695 entitled <b>DIDEOXY DYE</b>
18. CORRESPON	DENCE ADDRESS
Wesley B. Ames Reg. No. 40,893  Brobeck, Phleger & Harrison LLP 12390 El Camino Real San Diego, CA 92130 Telephone: (858) 720-2500 Facsimile: (858) 720-2555	



If a paper is untimely filed in the above-referenced application by applicant or his/her representative, the Commissioner is hereby petitioned under 37 C.F.R. § 1.136(a) for the minimum extension of time required to make said paper timely. In the event a petition for extension of time is made under the provisions of this paragraph, the Commissioner is hereby requested to charge any fee required under 37 C.F.R. § 1.17(a)-(d) to **Deposit Account No. 50-1273**. However, the Commissioner is **NOT** authorized to charge the cost of the issue fee to the Deposit Account.

The filing fee has been calculated as follows:

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TOTAL CLAIMS	- 20 <b>=</b>		x \$18.00	\$0.00
INDEPENDENT CLAIMS	- 3 =		x \$80.00	\$0.00
MULTIPLE DEPENDENT	Г CLAIM(S) (if applicat	ole)	+ \$270.00	\$ 0.00
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		TOTAL OF ABOV	E CALCULATIONS =	\$0.00
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Respectfully submitted,

Dated: 26 Octobe 2000

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Applicant(s) hereby petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees or to credit any overpayment to **Deposit Account No.** 50-1273 referencing docket no. 030516.0029.CON1 A duplicate copy of this transmittal is enclosed, for that purpose.

## CONTINUATION APPLICATION

UNDER 37 CFR § 1.53(B)

TITLE:

DIDEOXY DYE TERMINATORS

APPLICANT(S):

Shiv Kumar, Satyam Nampalli, Bernard F.

McArdle, Carl W. Fuller

Correspondence Enclosed:

Transmittal Letter (2 pgs); Cover Sheet (1 pg);

Specification (24 pgs); Claims (8 pgs); Abstract (1 pg); Drawings (2 pgs); Combined Declaration and Power of

Attorney (3 pgs); and Return Postcard

"EXPRESS MAIL" Mailing Label Number <u>EL 675944380 US</u> Date of Deposit <u>October 26, 2000</u> I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

PKMaun

Gretchen Dieckmann

#### DESCRIPTION

#### DIDEOXY DYE TERMINATORS

#### FIELD OF THE INVENTION

This invention relates to dye terminator nucleic acid sequencing and reagents for such sequencing.

#### BACKGROUND OF THE INVENTION

The following is a discussion of the relevant art, none of which is admitted to be prior art to the appended claims.

Sequence reaction products must be labeled. This can be done using labeled primers, labeled nucleotides (usually radioactive dNTPs) or labeled ddNTP terminators. The use of labeled terminators has the advantage of leaving false-stops undetectable.

DNA sequence bands do not necessarily have uniform intensities. It is useful to express band intensity

15 variability numerically. This can be done by reporting the ratio of maximum to minimum intensity of nearby bands (within a window of perhaps 40 bases) in a DNA sequence or, with normalization and correction for systematic "drift" in intensity by reporting the root

20 mean square of band intensities (typically peak heights) (Fuller, C.W., Comments 16(3):1-8, 1989). It is advantageous to have uniformity of band intensity as sequence accuracy and read-length is improved with bands of more uniform intensity.

For accurate reading, the mobility of any given sequencing reaction product must migrate through the electrophoresis gel with a speed proportional only to its length. Products which migrate faster or slower than normal for a given length will result in sequence ambiguities or errors known as "compressions".

Anomalous migration speed can be caused by secondary structure of the DNA and is apparently the cause of most "compression" artifacts seen in 10 radioactive-label (and other) sequencing experiments at GC-rich regions. These can often be resolved by the use of analogs of dGTP such as 7-deaza-dGTP or dITP. Another compression-like artifact is observed when some dye-labeled ddNTPs are used for sequencing. Several 15 examples of this can be seen in Lee, L.G., Connell, C.R., Woo, S.L., Cheng, R.D., McArdle, B.F., Fuller, C.W., Halloran, N.C., and Wilson. R.K., Nucleic Acids Res., 20:2471-2483, 1992 (see figures 4g, 4h and 6h using ddCTP labeled with tetramethylbodipy and TMR or 20 ddGTP labeled with bifluor). These compression-like artifacts are produced, even in sequences which are compression-free when sequenced radioactively or with dye-labeled primers. These artifacts can sometimes be eliminated by substituting dITP for dGTP or alpha-thio 25 dNTPs for normal dNTPs (Lee, L.G. et al., Nucleic Acids Res., 20: 2471-2483, 1992; U.S. Patent No. 5,187,085). Similar artifacts seen with the fluorescein dye-labeled ddNTPs sold by Applied Biosystems for dye-terminator sequencing with T7 DNA polymerase are resolved by 30 substituting alpha-thio dNTPs for normal dNTPs (Lee, SSSD/43876. v01

L.G. et al., Nucleic Acids Res., 20: 2471-2483, 1992;
U.S. Patent No. 5,187,085).

Prober, J.M., Trainor, G.L., Dam, R.J., Hobbs, F.W., Robertson, C.W., Zagursky, R.J., Cocuzza, A.J.,

Jensen, M.A. and Baumeister, K., Science 238:336-41

(1987) performed sequencing using terminators labeled with substituted succinyl-fluoresceins with linkers of 10 atoms in length, together with dATP, dCTP, dTTP, 7-deaza-dGTP and AMV reverse transcriptase, and a

fluorescence-detecting instrument. From Fig. 6 of this paper is clear that overall band intensities varied by more than 10-fold, far more than the best available current methods with dye primers or radioactive labels.

Dideoxy NTP terminators that have the same basic 15 structure as the Prober et al. (1987) terminators, but have four rhodamine dyes used in place of the succinyl fluoresceins and linkers of 5 atoms in length, have been used for sequencing with Taq polymerase. In order to use these terminators, dITP is used in place of dGTP or 20 7-deaza-dGTP to eliminate severe "compression" artifacts. This method has been practiced using cloned Tag DNA polymerase (Bergot, WO 9105060; Parker, L.T., Deng, Q, Zakeri, H., Carlson, C. Nickerson, D.A., Kwok, P.Y., Biotechniques 19(1):116-121, 1995) and with a 25 mutant of Taq polymerase (D49G, AmpliTaq CS) lacking 5'-3' exonuclease activity. However, band intensities vary by as much as 20-fold, limiting the accuracy and readlength possible with the method (Parker, L.T., Zakeri, H., Deng, Q., Spurgeon, S., Kwok, P.Y., Nickerson, D.A., 30 Biotechniques 21(4):694-699, 1996).

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Lee, L.G., Connell, C.R., Woo, S.L., Cheng, R.D., McArdle, B.F., Fuller, C.W., Hallorand, N.D. and Wilson, R.K., Nucleic Acids Res., 20:2471,1992)describe sequencing with a set of ddNTP terminators and T7 DNA polymerase. All have fluorescein-type dyes attached to the ddNTPs in essentially the same manner as the rhodamine terminators used for Taq sequencing. These are used with modified T7 DNA polymerase (Sequenase\*\* version 2.0) and alpha-thio dNTPs. The thio dNTPs are used to resolve the "compression" artifacts like dITP is used for the Taq dye-terminator methods. The results with this system are such that bands vary in intensity about 10-fold.

Wayne Barnes has published a protocol for dye
15 terminator sequencing with FY modified polymerases and

Mn<sup>2+</sup> (Scientech Corp. St. Louis, MO). Bands are more

uniform with this method varying about 4.5-fold at most.

Fluorescein-12 ddNTPs that have a linker length of 12 atoms and Biotin-11 ddNTPs that have a linker length 20 of 11 atoms are available (Dupont NEN, Wilmington, DE). These labeled ddNTPs are described as useful in sequencing reactions.

ABI PRISM disclose dichlororhodamine dyes linked to terminators by propargyl/ethylene oxide/amino ("EO")

25 linkers eight atoms in length for sequencing (Rosenblum, B.B., Lee, L.G., Spurgeon, S.L., Khan, S.H., Menchen, S.M., Heiner, C.R., and Chen, S.M., Nucleic Acids Res. 25(22):4500-4504, 1997).

Cyanine dyes have been utilized in dye terminators for sequencing (Lee et al., *Nucleic Acids Res.*, 20(10):2471, 1992).

#### SUMMARY OF THE INVENTION

5 The present invention provides novel dideoxy dyelabeled terminators which are useful in a number of biological processes, including providing uniform band intensities and the resolution of dye-induced compression artifacts in DNA sequencing. The dideoxy 10 dye-labeled terminators of the present invention are particularly well suited for use with DNA polymerases that are thermostable or which contain an altered dNMP binding site (Tabor et al., U.S. Patent No. 5,614,365). Use of the terminators of the present invention for 15 sequencing does not require the use of nucleotide analogs such as dITP or alpha-thio nucleotides to eliminate dye-induced compression artifacts. Applicant has surprisingly found that there is a strong correlation between the length of the link between the 20 dye molecule and the nucleotide and band uniformity, but little correlation between the type of dye (or other parameters) and band uniformity. Dye terminators with linkers of 10 or more atoms (extended linkers) up to 25 atoms (10, 11, 12.....25) when used in sequencing reactions produce bands in sequencing gels of significantly improved uniformity compared with dye

The dye termininators of the present invention with extended linkers typically are provided in groups of SSSD/43876. v01

terminators with linkers less than 10 atoms.

four (ATGC) with or without a thermostable DNA polymerase and are especially useful in a method of sequence analysis.

In a first aspect, the invention features a kit for DNA sequencing having a first, second, third and fourth dye terminator molecule, each of the dye terminator molecules has a dye molecule, a linker of at least 10 atoms in length and either ddATP, ddCTP, ddGTP or ddTTP as a mono or tri-phosphate and a thermostable DNA polymerase.

By "dye molecule" is meant any molecule that has a detectable emission spectrum, including but not limited to fluorescein, rhodamine, texas red, eosin, lissamine, coumarin, cyanine, and derivatives of these molecules.

15 Dyes also include energy transfer dyes each comprising a donor and an acceptor dye.

By "linker" is meant a chain of at least 10 atoms comprising carbon, nitrogen, and oxygen which links the dye molecule with the dideoxynucleotide. The chain may also contain substituted carbon or sulfur. Linkage typically occurs at the aromatic base moiety of the nucleotide. The first two atoms of the linker attached to the base are typically joined in a triple bond.

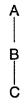
By "substituted carbon " is meant that one or more hydrogens are replaced with a substitute group such as, but not limited to, hydroxyl, cyano, alkoxy, oxygen, sulfur, nitroxy, halogen, -N(CH<sub>3</sub>)<sub>2</sub>, amino, and -SH.

By "thermostable DNA polymerase" is meant a DNA polymerase has a half-life of greater than 5 minutes at 90°C. Such polymerases include, but are not limited to, SSSD/43876. v01

DNA polymerases encoded by Thermus aquaticus, Thermus thermophilus, Thermus flavus, Thermococcus littoralis, Pyrococcus furiosus, Thermotoga maritima, and Thermotoga neapolitana.

In a preferred embodiment the thermostable DNA polymerase has an altered dNMP binding site so as to improve the incorporation of dideoxynucleotides relative to the natural polymerase. A DNA polymerase with an altered dNMP binding site does not discriminate significantly between dideoxynucleotides and deoxynucleotides. The chance of incorporating a dideoxynucleotide is approximately the same as that of a deoxynucleotide or at least 1/10 the efficiency of a deoxynucleotide.

In a second aspect the invention features a compound of formula (I)



A is a cyanine dye of the structure

$$\begin{array}{c|c}
R_3 & X & R_4 \\
R_5 & R_6 & R_6
\end{array}$$

wherein the curved lines represent carbon atoms
necessary for the formulation of cyanine dyes; X and Y
20 are selected from the group consisting of O, S, and
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CH<sub>3</sub>-C-CH<sub>3</sub>; m is an integer selected from the group consisting of 1, 2, 3, and 4; R1, R2, R3, R4, R5, R6, and R7 are independently selected from the group consisting of H, OH, CO<sub>2</sub>H, sulfonic acid or sulfonate groups, esters, amides, ethers, alkyl or aryl groups, and B and one R1, R2, R3, R4, R5, R6 or R7 is B.

B is a linker of at least 10 atoms in length wherein the atoms are selected from the group consisting of carbon, nitrogen, oxygen, substituted carbon and sulfur and the linker is attached at one end to A and at the other end to C.

C is a dideoxynucleotide selected from the group consisting of:

$$\begin{array}{c} & & & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & \\ & & \\ & \\ & & \\ & \\ & \\ & & \\ & \\ & \\ & & \\ & \\ & \\ & & \\ & \\ & \\ & \\ & \\ & \\ & \\ & \\$$

and wherein the linker is covalently bonded to the

dideoxynucleotide at position 7 for the purines (ddG,

ddA) and at position 5 for the pyrimidines (ddT, ddC)

and wherein r is a mono or tri-phosphate.

The term "sulfonic acid or sulfonate groups" refer to  $SO_3H$  groups or salts thereof.

The term "ester" refers to a chemical moiety with formula -(R)n-COOR', where R and R' are independently selected from the group consisting of saturated or

30

unsaturated alkyl and five-membered or six-membered aryl or heteroaryl moieties and where n is 0 or 1.

The term "amide" refers to a chemical substituent of formula -NHCOR, where R is selected from the group consisting of hydrogen, alkyl, hydroxyl, and five-membered or six-membered aryl or heteroaryl ring moieties, where the ring is optionally substituted with one or more substituents independently selected from the group consisting of alkyl, halogen, trihalomethyl, carboxylate, nitro, or ester.

The term "ether" refers to a chemical moiety with formula R-O-R' where R and R' are independently selected from the group consisting of saturated or unsaturated alkyl and five-membered or six-membered aryl or heteroaryl moieties and where n is 0 or 1.

The term "alkyl" refers to a straight-chain or branched aliphatic hydrocarbon. The alkyl group is preferably 1 to 10 carbons, more preferably a lower alkyl of from 1 to 7 carbons, and most preferably 1 to 4 carbons. Typical alkyl groups include methyl, ethyl, propyl, isopropyl, butyl, isobutyl, tertiary butyl, pentyl, hexyl and the like. The alkyl group may be substituted and some typical alkyl substituents include hydroxyl, cyano, alkoxy, oxygen, sulfur, nitroxy, 25 halogen, -N(CH<sub>3</sub>)<sub>2</sub>, amino, and -SH.

The term "aryl" refers to an aromatic group which has at least one ring having a conjugated pi electron system and includes both carbocyclic aryl (e.g. phenyl) and heterocyclic aryl groups (e.g. pyridine). The term "carbocyclic" refers to a compound which contains one or SSSD/43876. vol

more covalently closed ring structures, and that the atoms forming the backbone of the ring are all carbon atoms. The term thus distinguishes carbocyclic from heterocyclic rings in which the ring backbone contains at least one atom which is different from carbon. The term "heteroarly" refers to an aryl group which contains at least one heterocyclic ring.

In a preferred embodiment the linker is selected from the group consisting of:

- 10  $-C = C CH_2 NH CO (CH_2)_5 NH CO ,$ 
  - $-C \equiv C CH_2 NH CO (CH_2)_9 NH SO_2 -$
  - $-C \equiv C CH_2 NH CO (CH_2)_{10} NH CO ,$
  - $-C \equiv C CH_2 NH CO (CH_2)_5$
  - $-C = C CH_2 NH CO (CH_2)_5 NH CO (CH_2)_5 -$ , and
- $L5 -C = C CH_2 NH CO (CH_2)_5 NH CO (CH_2)_{10} NH CO (C$

In preferred embodiments the dideoxy dye terminators are; a compound of the formula (II):

;a compound of the formula (III):

## ; a compound of the formula (IV):

; compound of the formula (V):

The Cy-5.5 ddGTP and ddCTP compounds have a linker of 10 atoms in length. The Cy-5.5 ddCTP and ddTTP compounds have a linker of 17 atoms in length.

In a third aspect the invention features a deoxyribonucleic acid sequence containing the compound of formula I, II, III, IV or V.

In a preferred embodiment the invention features a kit for DNA sequencing comprising compounds of formula II, III, IV, and V.

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In a further preferred embodiments the kit further has a thermostable DNA polymerase; the thermostable DNA polymerase has an altered dNMP binding site so as to improve the incorporation of dideoxynucleotides relative to the natural polymerase.

Applicant has surprisingly found that the one parameter that most strongly correlates with band uniformity is the length of the linker between the dye and the ddNTP. Applicant has found that by extending the linker length between the dye and the nucleotide for any dye:ddNTP combination to at least 10 atoms, that band uniformity is substantially improved and there are no dye-induced compression artifacts.

Thus, in a fourth aspect, the invention features a

method for determining the nucleotide base sequence of a

DNA molecule consisting of the steps of incubating a DNA

molecule annealed with a primer molecule able to

hybridize to the DNA molecule in a vessel containing a

thermostable DNA polymerase, a dye terminator with a

linker of at least 10 atoms between the dye and the

nucleotide and separating DNA products of the incubating

reaction according to size whereby at least a part of

the nucleotide base sequence of the DNA molecule can be

determined.

In preferred embodiments, the dye terminator is a compound of formula I, II, III, IV or V; the thermostable DNA polymerase has an altered dNMP binding site.

Other features and advantages of the invention will be apparent from the following description of the preferred embodiments thereof, and from the claims.

All articles, publications and patents cited in
this application are hereby incorporated by reference,
in their entirety.

#### BRIEF DESCRIPTION OF THE FIGURES

Fig. 1 presents DNA sequence data generated using M13mp18 containing a 115 bp SauAI fragment from lambda
10 inserted a the BamHI site and Cy5.5 ddGTP, ddATP, ddTTP, and ddCTP dye terminators.

Fig. 2 is a graph of band intensity variability (rms) vs linker length (atoms).

## DESCRIPTION OF THE PREFERRED EMBODIMENTS

The following Examples are provided for further illustrating various aspects and embodiments of the present invention and are in no way intended to be limiting of the scope.

# Example 1: Synthesis of dideoxy dye terminators Cy 5.5 dideoxynucleoside triphosphates

Dye terminators labeled with Cy5.5 were prepared from propargylaminodideoxynucleotids (Prober, J.M., Trainor, G.L., Dam, R.J., Hobbs, F.W., Robertson, C.W., Zagursky, R.J., Cocuzza, A.J., Jensen, M.A. and

25 Baumeister, K., Science 238:336-41 (1987); U.S. Patent
Nos. 5,242,796, 5,306,618, and 5,332,666) and "CyDye
Fluorolink Cy5.5 mono reactive dye" product PA25501

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(Amersham Life Science) to produce compounds II, III, IV, and V. In the case of ddG and ddA, the propargylaminonucleotide was directly reacted with the N-hydroxysuccinimidyl ester of the Cy5.5 dye. In the case of ddC and ddT, a longer linker was constructed by reacting the propargylaminonucleotide with the N-hydroxysuccinimidyl ester of N-trifluoroacetyl-6-aminocaproic acid followed by hydrolysis in aqueous ammonia of the trifluoroacetyl group. The resulting compound was then reacted with the N-hydroxysuccinimidyl ester of the Cy5.5 dye to give the 17-atom linker between the Cy 5.5 dye and the pyrimidine base.

In addition to Cy 5.5 dyes, those who practice the art would know how to identify and utilize other dyes, 15 including other cyanine dyes, with the appropriate optical properties. Also, the construction and attachment of various linkers is well known in the art. Suitable reagents for linker construction include one or more compounds consisting of activated forms of amino-20 protected alkyl or aryl amino acids such as compounds of the formula  $R-NH-(CH_2)_n-CO_2R'$  or  $R-NH-(CH_2)_nX(CH_2)_m-CO_2R'$ , where R is an acid- or base-labile protecting group, R' is a reactive ester or anhydride group, X is aryl, O, S, or NH, and where n and m are 0-12. Other linkers constructed by N- or O- or S- alkylation are also suitable. The exact linker length, of at least 10 atoms, for a specific dye and dideoxynucleotide combination can be determined empirically by monitoring band uniformity in DNA sequencing as described (see

30 Example 3).

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## Example 2: Dye terminator cycle sequencing

DNA cycle sequencing was carried out using Thermo
Sequenase™ DNA polymerase (Amersham, Cleveland, OH) and
Cy5.5 dideoxy dye terminators using the following cycle
5 sequencing protocol:

1. A master mix was prepared consisting of the following:

10	Template DNA 10X Reaction buffer (see below) Primer, $2\mu M$ Polymerase (see below) $H_2O$	$5.0\mu$ l $3.5\mu$ l $1.0\mu$ l $2\mu$ $15.5\mu$ l
	Total volume	27.0μl

10X Reaction Buffer: 15 150 mM Tris HCL pH 9.5 35mM MgCl<sub>2</sub>

Polymerase: Thermo Sequenase™ DNA polymerase, 10U/μl, 0.0017U/μl, Thermoplasma acidophilum inorganic pyrophosphatase: 20mM Tris-HCl, pH 8.5, 1mM DTT, 0.1mM 20 EDTA, 0.5% Tween-20, 0.5% Nonidet P-40 and 50% glycerol.

- 2. Four microcentrifuge tubes were labeled and 2  $\mu l$  of Cy5.5 labeled ddG, ddA, ddT, ddC solution was added to each tube.
- 25:1 ddG Mix, 300  $\mu$ M each of dGTP, dATP, dTTP & dCTP,
- 5  $12\mu\text{M}$  Cy5.5 ddGTP
  - 25:1 ddA Mix, 300  $\mu$ M each of dGTP, dATP, dTTP & dCTP, 12 $\mu$ M Cy5.5 ddATP
  - 25:1 ddT Mix, 300  $\mu \rm M$  each of dGTP, dATP, dTTP & dCTP,  $12\mu \rm M$  Cy5.5 ddTTP
- 10 25:1 ddC Mix, 300  $\mu$ M each of dGTP, dATP, dTTP & dCTP, 12 $\mu$ M Cy5.5 ddCTP
  - 3. Six  $\mu$ l of the master mix (from step 1) was aliquoted to each of the 4 tubes from step 2 above. Cycling was carried out as follows: 95°C (30 sec), 45-
- 15 55°C (30 sec) and 72°C (60 sec) for 35 cycles then incubate at 72°C 5-7 minutes.
- 4. One  $\mu$ l of 8M ammonium acetate was added to each tube. Then 27  $\mu$ l (approximately 3 times the reaction volume) of chilled 100% ethanol was added. Then mixture was mixed and placed on ice for 20 minutes to
  - 5. The mixture was centrifuged in a microcentrifuge (~12,000rpm) for 20-30 minutes at either room temperature or 4°C. The supernatant was removed and
- 25 then 200  $\mu$ l of 70% ethanol was added to wash the DNA pellet.

precipitate the DNA.

- 6. The mixture was again centrifuged for 5 minutes, the supernatant removed and the pellet dried (in a vacuum centrifuge) for 2-3 minutes.
- 7. Each pellet was resuspended in 6  $\mu$ l of formamide loading dye (Amersham, Cleveland, OH), vortexed vigorously (10-20 sec) to ensure that all DNA was dissolved. The mixture was briefly centrifuged to collect the sample at the bottom of the tube.
- 8. Samples were heated to 70°C for 2-3 minutes to denature the DNA, then placed on ice.
  - 9. Then 1.5-2  $\mu$ l of the volume was loaded onto a lane of the sequencing gel, and the gel run on the MICRO Gene Blaster instrument (VGI).

For this sequence, the template DNA was M13mp18

containing a 115 bp Sau3AI fragment from bacteriophage lambda inserted at the BamHI site (product number US 70171 Amersham). The primer is the -40 Forward 23-mer universal primer (5'-GTTTTCCCAGTCACGACGTTGTA-3') (SEQ. ID. NO. 1). Results are shown in Figure 1.

# 20 Example 3: <u>Correlation of linker length and band</u> intensity variability

Sequencing reactions were carried out as described in example 2 with various dye molecules linked to dideoxynucleotides with linkers of various lengths (see Table 1). The labeled DNA products were then separated

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on denaturing polyacrylamide gels and the labeled products were detected by fluorescence. The intensity of the bands is taken as the height of the peaks in a graph of fluorescence (in arbitrary units) against time.

Typically, systematic variations in peak heights can be seen in graphs of peak heights plotted sequentially. These systematic variations in the peak heights can be modeled by least-square fitting to a second-order polynominal function. Dividing the peak height for each band by the value of the curve-fit polynomial function yields a normalized band intensity for each peak. Variation in these band intensities can be expressed as the square root of the variance √(n∑x²-(∑x)²/n²) of the

normalized peak heights, which can typically have values

between 0 and 1 with more variability represented by
higher numbers (Fuller, C.W., Comments 16(3):1-8, 1989).

This value is numerically equal to root-mean-square
(RMS) value when 1.0 is subtracted from the normalized
peak heights. These values are reported in Table 1 and
graphed in Fig. 2. Variability of band intensities is
significantly reduced when linkers of 10 or more atoms
in length were used, resulting in sequence data that was
easier to interpret accurately.

Table 1

	Base	Dye*	Linker Length <sup>b</sup>	Band Uniformity (rms)
1	Т	Coumarin	5°	0.32
2	G	Lissamine	5ª	0.77

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	3	G	R110	5°	0.34
	4	A	R6G	5°	0.32
	5	G	R6G	5°	0.57
	6	С	ROX	5°	0.36
5	7	Т	TMR	5°	0.47
	8	A	TxR	5ª	0.61
	9	С	Eosin	6e	0.40
	10	G	Суз	10 <sup>i</sup>	0.24
	11	A	Cy5	10 <sup>i</sup>	0.15
10	12	G	Cy5	10 <sup>i</sup>	0.21
	13	A	Cy5.5	10 <sup>i</sup>	0.21
	14	G	Cy5.5	10 <sup>i</sup>	0.20
	15	A	Fl	12 <sup>f</sup>	0.16
	16	С	Fl	12 <sup>f</sup>	0.20
15	17	G	Fl	12 <sup>f</sup>	0.17
	18	T	Fl	12 <sup>f</sup>	0.18
1	19	A	R6G	12 <sup>f</sup>	0.13
-	20	T	R6G	12 <sup>f</sup>	0.25
-	21	A	ROX	12 <sup>f</sup>	0.21
20	22	Т	ROX	12 <sup>f</sup>	0.16
-	23	С	TMR	12 <sup>f</sup>	0.26
	24	G	TMR	12 <sup>f</sup>	0.29
	25	T	TMR	12 <sup>f</sup>	0.37
_	26	A	TxR	16 <sup>g</sup>	0.32
25	27	С	TxR	16 <sup>9</sup>	0.24
_	28	G	TxR	16 <sup>9</sup>	0.22
	29	Ū	TxR	16 <sup>g</sup>	0.24
	30	A	Cy3-Cy5	17 <sup>j</sup>	0.11
	31	С	Cy3-Cy5	17 <sup>j</sup>	0.16
30	32	G	Су3-Су5	17 <sup>j</sup>	0.22

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		T		
33	Т	Cy3-Cy5	17 <sup>j</sup>	0.11
34	С	Cy5	17 <sup>j</sup>	0.14
35	T	Cy5	17 <sup>j</sup>	0.10
36	С	Cy5.5	17 <sup>j</sup>	0.20
37	T	Cy5.5	17 <sup>j</sup>	0.18
38	A	Fl	17 <sup>h</sup>	0.16
39	С	Fl	17 <sup>h</sup>	0.24
40	G	Fl	17 <sup>h</sup>	0.18
41	Т	Fl	17 <sup>h</sup>	0.25
42	Т	Fl	24 <sup>k</sup>	0.24

<sup>&</sup>lt;sup>a</sup> Abbreviations for dyes: Fl, Carboxyfluorescein; R110, Rhodamine 110; R6G, Rhodamine 6G; ROX, Rhodamine X; TMR, tetramethylrhodamine; TXR, Texas Red (Molecular Probes). The dyes Cy3, Cy3.5, Cy5 and Cy5.5 were from Amersham Life Science, Cleveland, OH.

15 b Linker length is the number of atoms between the ring structure of the nucleoside base (A, C, G or T) and the ring structure of the dye.

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Linker structures
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- c -C≡C-CH2-NH-CO-
- 20 d -CEC-CH2-NH-SO2
  - e -C≡C-CH<sub>2</sub>-NH-CS-NH-
  - $^{f}$   $-C \equiv C CH_2 NH CO (CH_2)_5 NH CO -$
  - $^{g}$   $-C \equiv C CH_2 NH CO (CH_2)_{g} NH SO_2 -$
  - h -C=C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>10</sub>-NH-CO-
- 25 <sup>1</sup> -C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-
  - 1 -C=C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-
  - k -C≡C-CH<sub>2</sub>-NH-CO-(CH<sub>2</sub>)<sub>5</sub>-NH-CO-(CH<sub>2</sub>)<sub>10</sub>-NH-CO-

#### SEQUENCE LISTING

(1)	GENERAL	INFORMATION:

(I) APPLICANT:

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Nampalli, Stayam McArdle, Bernard F.

Fuller, Carl W.

(ii) TITLE OF INVENTION:

DIDEOXY DYE TERMINATORS

(iii) NUMBER OF SEQUENCES: 1

(iv) CORRESPONDENCE ADDRESS:

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(C) CITY: Los Angeles California

(D) STATE:

U.S.A.

(E) COUNTRY:

(F) ZIP:

90071-2066

 $(\mathbf{v})$ COMPUTER READABLE FORM:

> (A) MEDIUM TYPE:

3.5" Diskette, 1.44 Mb

storage

Herewith

(B) COMPUTER:

(C) OPERATING SYSTEM:

IBM Compatible IBM P.C. DOS 5.0

(D) SOFTWARE: FastSEQ for Windows 2.0

(vi) CURRENT APPLICATION DATA:

> (A) APPLICATION NUMBER:

To Be Assigned

(B) FILING DATE:

(C) CLASSIFICATION:

(vii) PRIOR APPLICATION DATA:

- (A) APPLICATION NUMBER:
- (B) FILING DATE:

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#### (2) INFORMATION FOR SEQ ID NO: 1:

#### (I) SEQUENCE CHARACTERISTICS:

LENGTH: (A)

23 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:

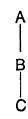
GTTTTCCCAG TCACGACGTT GTA

23

Other embodiments are within the following claims.

#### CLAIMS

- A kit for DNA sequencing comprising:
- a first, second, third and fourth dye terminator molecule, each of the dye terminator molecules comprising a dye molecule, a linker of at least 10 atoms in length and either ddATP, ddCTP, ddGTP or ddTTP as a mono or triphosphate and a thermostable DNA polymerase.
- The kit of claim 1, wherein said polymerase is a thermostable DNA polymerase that has an altered dNMP
   binding site so as to improve the incorporation of dideoxynucleotides relative to the natural polymerase.
  - 3. A compound of formula (I):



wherein A is a cyanine dye of the structure

$$\begin{array}{c|c}
R_3 & X & H & R_7 \\
R_5 & R_1 & R_2
\end{array}$$

and the curved lines represent carbon atoms necessary for the formulation of cyanine dyes, X and Y are selected from the group consisting of O, S, and 10

 $CH_3-C-CH_3$ , m is an integer selected from the group consisting of 1, 2, 3, and 4, R1, R2, R3, R4, R5, R6 and R7 are independently selected from the group consisting of H, OH,  $CO_2H$ , sulfonic acid or sulfonate groups, esters, amides, ethers, alkyl or aryl groups and B, and one R1, R2, R3, R4, R5, R6 or R7 is B;

B is a linker of at least 10 atoms in length wherein the atoms are selected from the group consisting of carbon, nitrogen, oxygen, substituted carbon, and sulfur and the linker is attached at one end to A and at the other end to C; and

C is a dideoxynucleotide selected from the group consisting of

wherein said linker is covalently bonded to said dideoxynucleotide at position 7 for ddA and ddG and at position 5 for ddC and ddT and wherein r is a mono or triphosphate.

4. The compound of claims 3, wherein said linker is selected from the group consisting of

```
-C = C - CH_2 - NH - CO - (CH_2)_5 - NH - CO -,
-C = C - CH_2 - NH - CO - (CH_2)_9 - NH - SO_2 -,
-C = C - CH_2 - NH - CO - (CH_2)_{10} - NH - CO -,
-C = C - CH_2 - NH - CO - (CH_2)_5 -,
-C = C - CH_2 - NH - CO - (CH_2)_5 - NH - CO - (CH_2)_5 -, \text{ and}
-C = C - CH_2 - NH - CO - (CH_2)_5 - NH - CO - (CH_2)_{10} - NH - CO -.
```

## 5. A compound of the formula (II):

# 6. A compound of the formula (III):

# 7. A compound of the formula (IV):

8. A compound of the formula (V):

- 9. A deoxyribonucleic acid sequence containing the compound of formula I.
  - 10. A deoxyribonucleic acid sequence containing the compound of formula II, III, IV, or V.
- 11. A kit for DNA sequencing comprising compounds of 10 formula II, III, IV, and V.

- 12. The kit of claim 11, further comprising a thermostable DNA polymerase.
- 13. The kit of claim 12, wherein said polymerase is a thermostable DNA polymerase that has an altered dNMP binding site so as to improve the incorporation of dideoxynucleotides relative to the natural polymerase.
  - 14. Method for determining the nucleotide base sequence of a DNA molecule comprising the steps of:

incubating a DNA molecule annealed with a primer

molecule able to hybridize to said DNA molecule in a

vessel containing a thermostable DNA polymerase, one

of a set of four dye terminators with an linker of at

least 10 atoms between the dye and the nucleotide and

separating DNA products of the incubating

reaction according to size whereby at least a part of
the nucleotide base sequence of said DNA molecule can

be determined.

15. Method for determining the nucleotide base sequence of a DNA molecule comprising the steps of:

incubating a DNA molecule annealed with a primer molecule able to hybridize to said DNA molecule in a vessel containing a thermostable DNA polymerase, a compound of formula I and

separating DNA products of the incubating reaction according to size whereby at least a part of the nucleotide base sequence of said DNA molecule can be determined.

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16. Method for determining the nucleotide base sequence of a DNA molecule comprising the steps of:

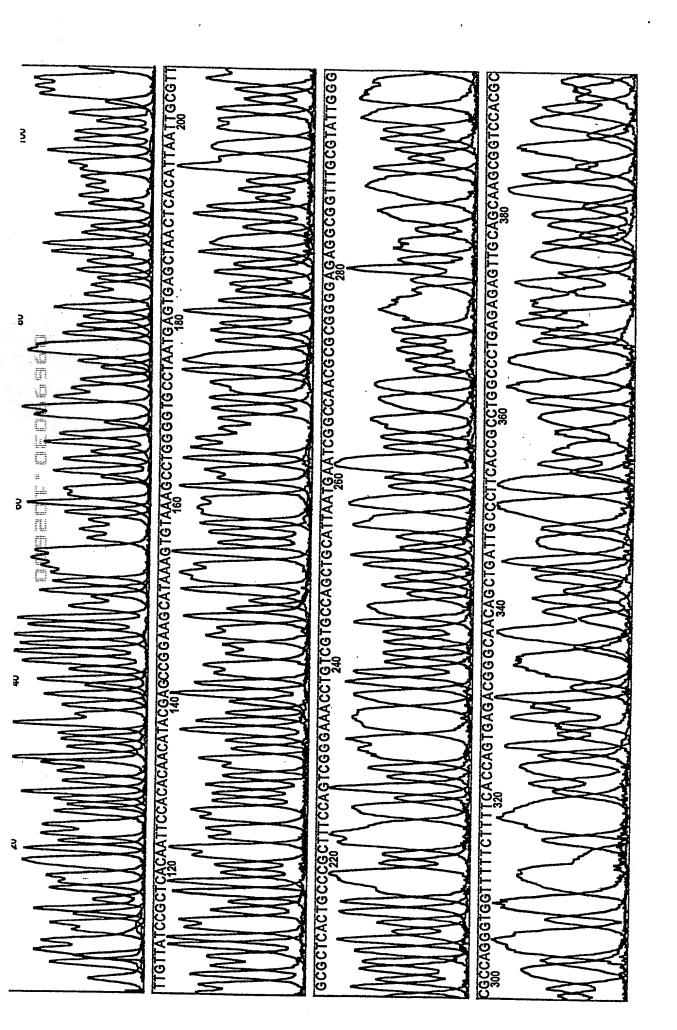
incubating a DNA molecule annealed with a primer molecule able to hybridize to said DNA molecule in a vessel containing a thermostable DNA, a compound of formula II, III, IV, or V and

separating DNA products of the incubating reaction according to size whereby at least a part of the nucleotide base sequence of said DNA molecule can be determined.

17. The method of any of claims 14, 15, or 16 wherein said polymerase is a thermostable DNA polymerase that has an altered dNMP binding site so as to improve the incorporation of dideoxynucleotides relative to the natural polymerase.

#### ABSTRACT

A kit for DNA sequencing comprising a first, second, third and fourth dye terminator molecules, each of the dye terminator molecules comprising a dye molecule, a linker of at least 10 atoms in length and either ddATP, ddCTP, ddGTP or ddTTP as a mono or tri-phosphate and a thermostable DNA polymerase.



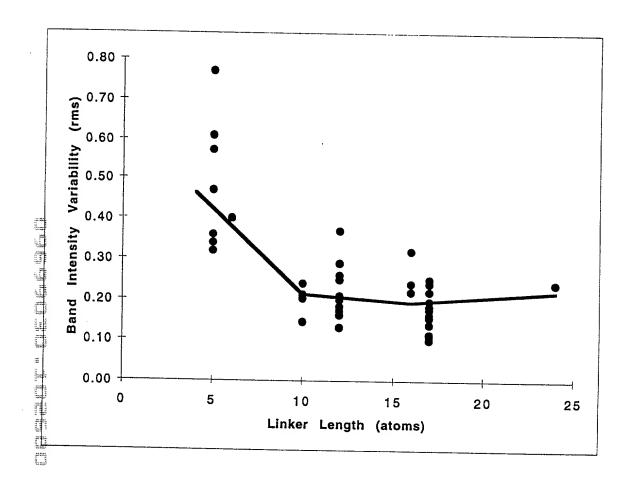


FIGURE 2

### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

	DIDEOXY DYE TERMINATORS the specification of which							
	is attached hereto.							
	X was filed on February 4, 1998 as Application Serial No. 09/018,695 and was amended on							
The first state that the first state s	I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.  I acknowledge the duty to disclose information which is material to the patentability and/or examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).  I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:  Prior Application(s):							
	(Number)	(Country)	(Day/Month/Year Filed)	Yes	No			
7 2	(Number)	(Country)	(Day/Month/Year Filed)	Yes	No			
Harry Person Harry	(Number)	(Country)	(Day/Month/Year Filed)	Yes	No			
	I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed							
	(Application Number)		(Filing Date)					
	I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:							
	(Application Serial No.)	)	(Filing Date)	(Status)				
	(Application Serial No.)	)	(Filing Date)	(Status)				

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Richard J. Warburg, Esq., Registration No. 32,327

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issuing thereon.

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Inventor's signature Shiv Wumas	Date: 4/15/98
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